



QIU & QDR Reporting: Frequently Asked Questions

This document is meant to accompany the Quarterly Initiative Updates (QIU) & spatial Quarterly Data Reports (QDR) data schema changes released on September 20, 2021 and serves as a follow up to the QIU/QDR data discussion held between the Office of Energy Infrastructure Safety (Energy Safety) and electrical corporations on June 23, 2021.

1. How often will Energy Safety make updates to the GIS data schema going forward?

Answer:

Changes to the GIS data schema will be ad hoc and based on the information required by Energy Safety.

- 2. Across QIU, spatial QDR, and non-spatial QDR:**
- a. Is reporting cumulative for a given quarter?**
 - b. Are projections required?**

Answer:

- a. The data requirements mandate that both cumulative and quarterly quantities are reported. For example, in the spatial QDR there are two new fields required:
 - Quarterly Progress: The amount of the Initiative Target that was complete at the end of the quarterly reporting period, if any (not cumulative). This will be in the same units as the Initiative Target field.
 - Cumulative Progress: The aggregate amount of the Initiative Target that is complete thus far for the year. For example, for the Q3 submission, Cumulative Progress should be the aggregate value of progress across Q1, Q2, and Q3.

As a reminder, the Initiative Target field is reported at the initiative level (not the geometry level). In other words, the Initiative Target represents the target for the specific initiative identified through the compliance period and not for what is specifically being reported in



the QDR. Targets should be set with the Q1 submission and should not change over the course of the year (e.g., a utility should not lower their annual targets if it finds it is underperforming midway into the year), unless such a change has been approved through the WMP change order process. The Initiative Target field is defined as follows:

- **Initiative Target:** The numerical target for the identified initiative activity during the reporting period. This is the overall target for the initiative and should be standard for all geometries associated with an initiative.
- b. Projections are required in the QIU and non-spatial QDR. In the QIU, projections are required in all “Projected Quant Progress” columns. Progress should be reported as cumulative in all “Projected Quant Progress” and “Quant Actual Progress” columns (columns N – U).

Below is an example from Liberty’s 2021 Q1 QIU that shows “Projected Quant Progress” entered correctly, as cumulative for each quarter and the Q4 projection equaling the “Annual Quant Target” value:

M	N	O	P	Q
AnnualQuantTarget	ProjectedQuantProgressQ1	ProjectedQuantProgressQ1-2	ProjectedQuantProgressQ1-3	ProjectedQuantProgressQ1-4
10	0	0	5	10

In the non-spatial QDR, projections are required for all fields that indicate as such (Table’s 7.1, 7.2, 9, etc.). For example, see the highlighted sections in the below figure taken from Bear Valley Eclectic Service, Inc 2021 Q2 non-spatial QDR. Projected quarterly progress for metrics such as outcomes in the non-spatial QDR should be specific to the quarter and not cumulative.



Projected					
Q3	Q4	Q1	Q2	Q3	Q4
2021	2021	2022	2022	2022	2022
0	0	0			
0	0	0			
48892	48892	48892			

3. How should initiative target reporting carryover from year to year?

Answer:

If an electrical corporation does not complete its annual target by the end of Q4, and if the electrical corporation plans to continue executing the same mitigation initiative the following year, then it should update its target for the following year to carryover the remaining units that were not completed during the previous compliance period. However, the Utility Initiative Tracking ID should remain the same for this initiative, while the WMP page number and section may be updated to reflect the new year’s WMP where this carryover is explained.”

4. Will the change order process affect QIU and QDR reporting?

Answer:

The updated spatial QDR has fields indicating whether a change order has been filed for each initiative, status, and nature of change (i.e. “Change Order”, “Change Order Date”, and “Change Order Type” within Vegetation Management Project Log, Grid Hardening Log, etc.). Also, two new columns have been added to the QIU to account for change orders, as follows:

- Change Order: This column is for electrical corporations to indicate whether a change order has been filed for an initiative, with “yes” or “no” as possible field values.



- **Change Order Status:** If “yes” was entered in the Change Order column, then this column should also be filled out to reflect the status of the change order. Possible values with definitions included below:
 - “In review”: Change order has been sent to Energy Safety and is under review. The electrical corporation should not update Targets until the change order is approved.
 - “Approved”: Energy Safety has approved the electrical corporation’s change order. The electrical corporation can change targets for the initiative once the change order is approved, assuming the change was relative to the initiative target.
 - “Rejected”: Energy Safety has denied the electrical corporation’s change order. The electrical corporation must keep the initiative and its associated targets as-is.

5. Will electrical corporations still need to report out on the ongoing Class B deficiency requirements (from 2020 WMPs)?

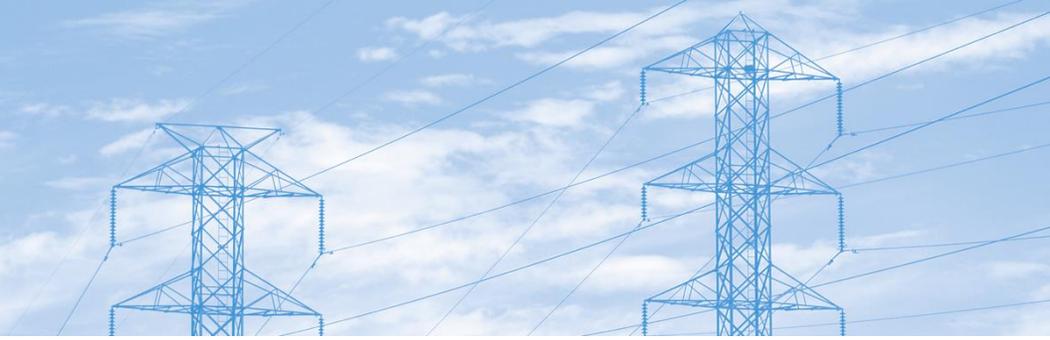
Answer:

Any updates to this requirement will be articulated in the 2021 WMP action statements and resolutions.

6. Should initiatives with no spatial data (e.g., Stakeholder cooperation and community engagement initiatives) have a Utility Initiative Tracking ID?

Answer:

All utility initiatives in an electrical corporation’s QIU should have a Utility Initiative Tracking ID, regardless of whether the utility initiative has spatial data. All utility initiatives included in an electrical corporation’s spatial QDR should have a Utility Initiative Tracking ID. However, only initiatives with spatial data components should be reported in the spatial QDR. If a utility initiative has spatial data components, then the Utility Initiative Tracking ID in the spatial QDR should match the Utility Initiative Tracking ID in the QIU.



7. What is the difference between WMP Initiative Category, WMP Initiative Activity, and Utility Initiative?

Answer:

See below for the definitions of WMP Initiative Category, WMP Initiative Activity, and Utility Initiative as used in the QIU and QDR:

- WMP Initiative Categories are the high-level buckets of WMP-defined work (e.g., 7.3.5: Vegetation Management & Inspections as found in the 2021 WMP Guidelines).
- WMP Initiative Activities are subsets of WMP Initiatives Categories as detailed in the WMP Guidelines (e.g., 7.3.5.16: Removal and remediation of trees with strike potential to electric lines and equipment).¹
- Utility Initiatives are utility-defined initiative names; Utility Initiatives may tie directly to a WMP Initiative Activity in a one-to-one relationship, or multiple Utility Initiatives may tie to a single WMP Initiative Activity. For instance, the utility may have multiple Utility Initiatives that tie to one WMP Initiative Activity (e.g., ‘Dead and dying tree removal’ and ‘Hazard tree management program’ are both linked to SCE’s WMP Initiative Activity 7.3.5.16: ‘Removal and remediation of trees with strike potential to electric lines and equipment’). In other cases, the utility may have only one Utility Initiative that ties to one WMP Initiative Activity (e.g., ‘Remediation of at-risk species’ is the only Utility Initiative linked to SCE’s WMP Initiative Activity 7.3.5.15).

¹ The WMP Guidelines also allow for electrical corporations to identify additional initiative activities that are not included in the WMP Guidelines.