


2023 Safety Culture Assessment (SCA) Guidelines

Public Meeting to Consider Adoption of the 2023 SCA Guidelines
Office of Energy Infrastructure Safety
Wednesday April 12, 2023, 11 am to 12 pm



Agenda

- Introduction from Energy Safety Staff
- Overview of SCA requirements
- Public comment on Draft 2023 SCA Guidelines
- Changes to the SCA Guidelines 2022 to 2023
- Adoption
- Closing remarks

The image is a composite. The top portion shows a rocky, forested hillside under a cloudy sky. The middle portion is a solid blue rectangle containing white text. The bottom portion shows a close-up of a rocky, forested hillside. The bottom of the slide has a green-to-blue gradient bar.

SCA Requirement, Basis for Guidelines, Overview

SCA Requirement

- Public Utility Code Section 8389(d)(4):
CPUC shall adopt and approve (by Dec. 1, 2020, and annually thereafter):

“A process for the division to conduct annual safety culture assessments for each electrical corporation.”

Basis for 2023 SCA Guidelines

- Nov. 2020: CPUC adopted initial SCA process in Resolution WSD-011
- Dec. 2021: CPUC adopted revised SCA process in Resolution M-4860
- Dec. 2022: CPUC adopted revised SCA process in Resolution SPD-6
- Clarification of terms but no substantive changes in these revisions

SCA Purpose

- Assess safety outcomes over time, “foster continuous and collaborative improvement and learning” (WSD-011 Attachment 4)
- Companies requesting a safety certification can agree to implement the findings of the SCA report to establish “good standing”

SCA Core Components

- Workforce survey (Large IOUs and SMJUs)
- Management self-assessment (Large IOUs)
 - Summary plan for the coming year
- Interviews to better understand workforce survey and management self-assessment (Large IOUs)
- Safety culture objectives, lessons learned, report on previous year's SCA recommendations (Large IOUs, SMJUs, ITOs)

Public Comment

If you wish to comment:

- Press the “raise hand” button on Zoom
 - Participants will be unmuted in order of hands raised
- Dial-in participants need to press #2 to raise hand



Changes to the SCA Guidelines from 2022 to 2023

Changes: Removal of Unnecessary Language

- In 2023, passages that provide redundant or unnecessary information have been deleted from the SCA Guidelines
 - For example, the description of Energy Safety’s intentions regarding the SCA process has been removed from the Introduction (“Energy Safety’s assessment of safety culture is intended to be complementary to, and not a replacement for, ongoing work to improve safety culture at each electrical corporation”)

Changes: Clarification about Role of the Third-Party Administrator

- New in 2023: Section 1 “The Role of the Third-Party Administrator in the Safety Culture Assessment Process”
 - “Energy Safety conducts its Safety Culture Assessment process through a third-party administrator. Use of a third-party for these activities provides Energy Safety with expertise in industrial safety culture assessments, assistance in refining the SCA process, and minimizes bias in the data collection process.”

Changes: Clarification about Workforce Survey Communication

- Instructions for communicating about the workforce survey in 2022
 - “**Below are guidelines** for communication directed at the target survey population about the survey”
- Instructions in 2023
 - “In communicating with the target population about the survey, Energy Safety’s third-party administrator and the electrical corporations **must**”

Changes: Removal of Terminology Definitions in Footnotes

- In 2023, terminology is defined in the Glossary but not additionally provided in footnotes throughout the SCA Guidelines

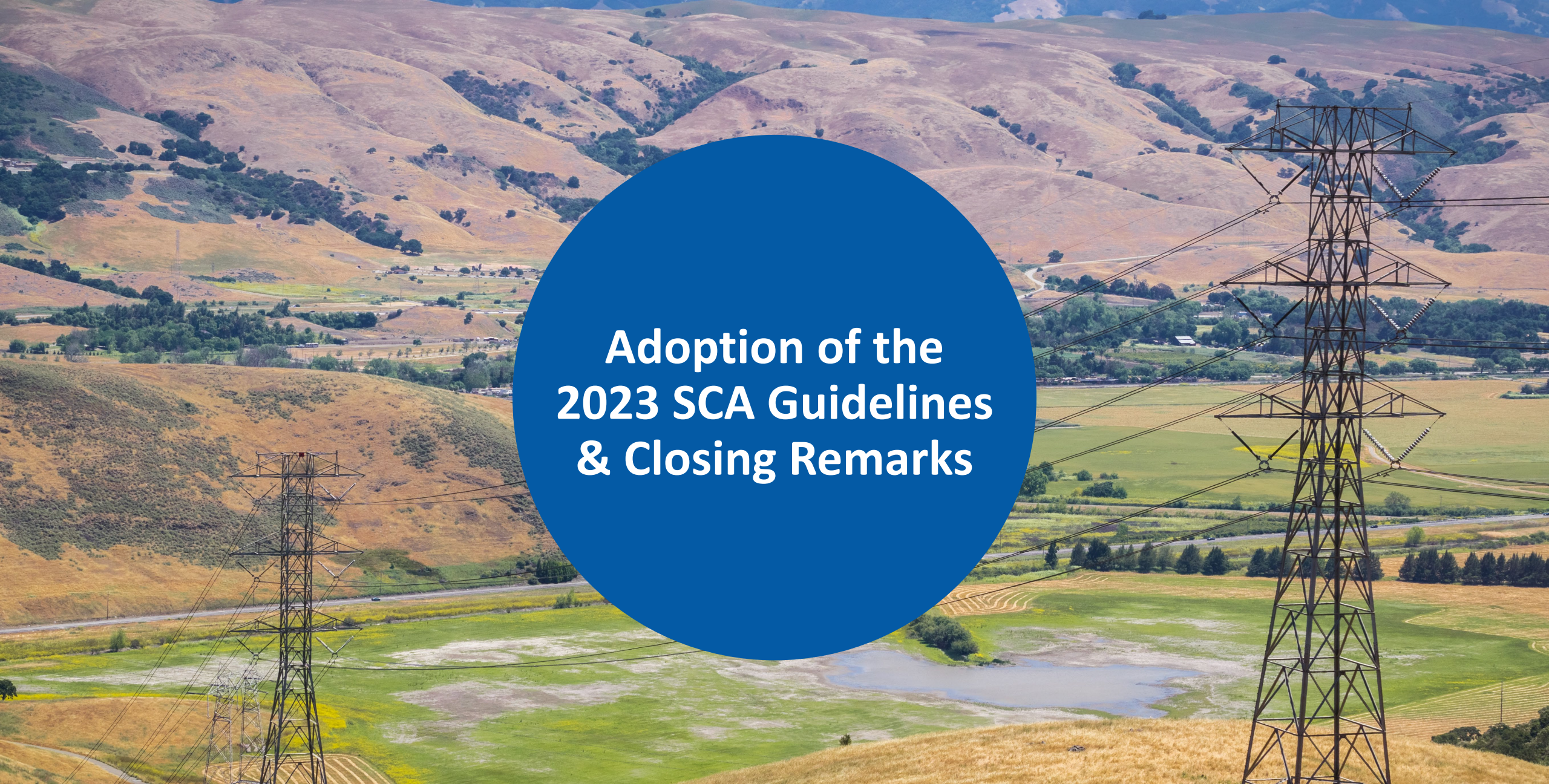
Written Public Comments

- Initial public comments on draft received from the joint investor-owned utilities (PG&E, SCE, SDG&E) March 27, 2023
- No reply comments received

Input from Public

Energy Safety will consider in the future:

- More consistent schedule year to year (joint IOUs)
- Coordination with the CPUC's comprehensive SCA process (joint IOUs)



**Adoption of the
2023 SCA Guidelines
& Closing Remarks**



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